



Australian Government

Registered Organisations Commission

# ROCpod: Talking with the Registered Organisations Commission



Welcome to ROCpod: Talking with the Registered Organisations Commission, the official podcast of the Registered Organisations Commission on issues around regulation of employee and employer organisations.

In this monthly podcast series we will share essential information, uncover handy hints and tips, speak to guest experts and reveal the best tools for registered organisations to achieve proactive compliance with legislative requirements. A new episode will be released on the last Friday of every month.

Don't forget to subscribe via [Apple Podcasts](#), [Google Podcasts](#), [Spotify](#) or [YouTube](#) and see how ROCpod can help you and your organisation.



## Episode 21: Lessons from the Federal Court

In this month's episode we talk about recent court decisions and identify what registered organisations can learn from them.

We reveal how organisations can resolve matters efficiently by taking steps to remediate non-compliance to the greatest extent that is possible, and co-operating with the ROC. Registered organisations are encouraged to voluntarily report non-compliance, honestly disclose information that is relevant to misconduct, and assist during ROC inquiries and investigations.

The ROC's approach to compliance and enforcement starts with providing education and assistance, including tools and resources to help with compliance and offering tailored assistance to organisations and branches. We routinely work with organisations to strengthen their systems and processes to prevent future breaches of the law. Where serious non-compliance has not resolved via alternative processes, the ROC has pursued a small number of matters in the Federal Court. We discuss what the judges have said and how this is important to all registered organisations.

## Episode highlights

- 1:50: The ROC's approach to compliance and litigation
- 5:00: [ROC inquiries and investigations](#)
- 10:18: Alternatives to litigation
- 11:30: Lessons from court decisions
- 14:11: Why organisations should rectify non-compliance and co-operate with us
- 16:10: Officers can be responsible for the breaches of their organisation
- 20:55: Education initiative – [Summaries of court cases](#)

[Episode 21 transcript \(PDF 167.6KB\)](#)



## Other ROCpod episodes

- [Episode 1: What to expect from your auditor](#)
- [Episode 2: The importance of good governance](#)
- [Episode 3: Interview with the Commissioner](#)
- [Episode 4: Who is an officer?](#)
- [Episode 5: Dual registration](#)
- [Episode 6: Spotlight on whistleblower disclosures](#)
- [Episode 7: Demystifying the ORP statement](#)
- [Episode 8: How the ROC regulates](#)

- [Episode 9: Help for organisations during the COVID-19 pandemic](#)
- [Episode 10: Behind the scenes with the ROC](#)
- [Episode 11: Good governance in practice: record keeping and decision making](#)
- [Episode 12: Working together for an efficient election](#)
- [Episode 13: Good governance in practice: holding meetings](#)
- [Episode 14: The ROC Toolbox](#)
- [Episode 15: Good governance in practice: officer induction](#)
- [Episode 16: The Commissioner: 2020 and the year ahead](#)
- [Episode 17: Good governance in practice: conflicts of interest](#)
- [Episode 18: Working together to assist registered organisations](#)
- [Episode 19: Election exemptions - what they are and how do they work?](#)
- [Episode 20: Good governance in practice: Creating a 'speak up' culture](#)

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